



# The Baldwin & Clarke Companies

## *Listing of Firm Capabilities*

We provide an extensive suite of financial services, delivered under the architecture of the Baldwin & Clarke Companies, for the purpose of providing proactive, forward-thinking solutions that holistically satisfy the diverse needs of our clients. When aggregating the core competencies of our five integrated and multidisciplinary companies, Baldwin & Clarke provides clients the flexibility to target specific personal and business needs, or more comprehensively tackle their financial and life goals.

**Over 40 years  
providing  
personalized  
solutions for:**

*Individuals*

*Professionals*

*Families*

*Entrepreneurs*

*Plan sponsors*

*Endowments*

*Foundations*

*Trusts*

### **Baldwin & Clarke Advisory Services, Inc. <sup>1</sup>**

- ✓ Wealth management consulting
- ✓ Financial planning
- ✓ Legacy and wealth transfer planning

### ***Our registered investment advisor firm***

- ✓ Business Owner Exit Planning
- ✓ Fiduciary consulting services

### **Baldwin & Corporate Finance, Inc. <sup>2</sup>**

- ✓ Mergers & acquisitions
- ✓ Capital financings
- ✓ Liquidity transactions for business owners
- ✓ Financial & strategic advisory services

### ***Our boutique investment banking firm***

- ✓ Valuations
    - *Private placements and M&A*
    - *Fairness & Solvency opinions*
- Intellectual property, intangibles, ESOPs, gifting & estate planning, partner buyouts, stock disputes, minority interests*

### **Baldwin & Clarke, LLP.**

- ✓ Business Life insurance planning
- ✓ Personal Life insurance planning
- ✓ Disability Insurance Planning

### ***Our risk management & insurance firm***

- ✓ Insurance fulfillment
- ✓ Executive benefit planning
- ✓ Business succession planning

### **Baldwin & Clarke Retirement Plan Services, Inc.**

- ✓ Qualified plan design services
- ✓ Qualified plan consulting

### ***Our retirement plan consultancy firm***

- ✓ Able to provide 3<sup>rd</sup> party administrative services, inclusive of plan installation, through our relationship with a strong NH-based TPA.
  - *Administration services include:*
    - *Defined contribution plans*
    - *Defined benefit plans*
    - *Cash balance plans*

### **1st BCCW Capital Corp <sup>2</sup>**

### ***Our limited registration broker/dealer***

Baldwin & Clarke Corporate Finance, Inc. manages 1<sup>st</sup> BCCW Capital Corp., our registered broker/dealer with securities licensing to make private placements of debt and equity capital (member FINRA & SIPC)

## *Company Disclosures*

1. Baldwin and Clarke Advisory Services, Inc. is a Registered Investment Advisor with the United States Securities and Exchange Commission. Additional information about Baldwin and Clarke Advisory Services, Inc. is available on the SEC's website at: [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov), using CRD # 105666.
2. In engagements where a registered broker/dealer is required, transactions are executed through 1st BCCW Capital Corp., 116 B South River Road, Suite 102, Bedford, NH 03110, (603)668-4353. They do not provide services through Baldwin & Clarke Advisory Services, Inc. 1st BCCW Capital Corp is a member of FINRA ([www.finra.org](http://www.finra.org)) and SIPC ([www.sipc.org](http://www.sipc.org)).