



The Baldwin & Clarke Companies

Listing of Firm Capabilities

We provide an extensive suite of financial services, delivered under the architecture of the Baldwin & Clarke Companies, for the purpose of providing proactive, forward-thinking solutions that holistically satisfy the diverse needs of our clients. When aggregating the core competencies of our five integrated and multidisciplinary companies, Baldwin & Clarke provides clients the flexibility to target specific personal and business needs, or more comprehensively tackle their financial and life goals.

**Over 40 years
providing
personalized
solutions for:**

Individuals

Professionals

Families

Entrepreneurs

Plan sponsors

Endowments

Foundations

Trusts

Baldwin & Clarke Advisory Services, Inc. ¹

- ✓ Wealth management consulting
- ✓ Financial planning
- ✓ Legacy and wealth transfer planning

Our registered investment advisor firm

- ✓ Business Owner Exit Planning
- ✓ Fiduciary consulting services

Baldwin & Clarke Corporate Finance, Inc.

- ✓ Mergers & acquisitions
- ✓ Capital financings
- ✓ Liquidity transactions for business owners
- ✓ Financial & strategic advisory services

Our boutique investment banking firm

- ✓ Valuations
 - *Private placements and M&A*
 - *Fairness & Solvency opinions*
- Intellectual property, intangibles, ESOPs, gifting & estate planning, partner buyouts, stock disputes, minority interests*

Baldwin & Clarke, LLP.

- ✓ Business Life insurance planning
- ✓ Personal Life insurance planning
- ✓ Disability Insurance Planning

Our risk management & insurance firm

- ✓ Insurance fulfillment
- ✓ Executive benefit planning
- ✓ Business succession planning

Baldwin & Clarke Retirement Plan Services, Inc.

- ✓ Qualified plan design services
- ✓ Qualified plan consulting

Our retirement plan consultancy firm

- ✓ Able to provide 3rd party administrative services, inclusive of plan installation, through our relationship with a strong NH-based TPA.
 - *Administration services include:*
 - *Defined contribution plans*
 - *Defined benefit plans*
 - *Cash balance plans*

Company Disclosures

1. Baldwin and Clarke Advisory Services, Inc. is a Registered Investment Advisor with the United States Securities and Exchange Commission. Additional information about Baldwin and Clarke Advisory Services, Inc. is available on the SEC's website at: www.adviserinfo.sec.gov, using CRD # 105666.